

Screening Form

Name of Project: _____

Name of Project Proponent: _____

Name of Borrower: _____

Please provide the name, department, job title, and contact details for the person who is responsible for filling out this form.

Name:

Department and job title:

Name of Company or Organization:

Telephone number:

Fax number:

E-Mail address:

Date:

Signature:

Please provide the following information concerning the organization submitting this screening form.

Name of Company or Organization:

Name of a person in charge:

Job Title:

Telephone/Fax:

Email:

(Note)

1. This Screening Form or the contents thereof may be made public.
2. When any description in this Screening Form has been found false or insufficient due to intent or negligence of the person who submitted this form, NEXI may refuse to pay part or all of the insurance claims or make them refund part or all of the insurance claims, or cancel the relevant insurance contract, based on the insurance contract.

Questions

Q1. Please provide the address of the project site.

Address of the project site: _____

Q2. Please provide a brief explanation of the project.

Q3. Is it a new project to be implemented or a project that has been implemented? If it has been implemented, has it received a serious complaint from local residents or a directive for improvement, a stop-work order, a project suspension order, etc., from a local environmental authority?

- ☐ New project to be implemented
- ☐ Project that has been implemented (with a complaint)
- ☐ Project that has been implemented (without a complaint)
- ☐ Other (_____)

Q4. Do the laws or regulations of the country where the project will be implemented require the project to conduct environmental and/or social impact assessments (such as an Environmental and Social Impact Assessment (ESIA) and Environmental Impact Assessment (EIA))? If so, have they been conducted or planned?

- | | |
|--|--|
| <input type="checkbox"/> Required (Assessments done) | <input type="checkbox"/> Required (Assessments in progress or being planned) |
| <input type="checkbox"/> Not Required | <input type="checkbox"/> Other (_____) |

Q5. If environmental and/or social impact assessments have been conducted, have they been approved in accordance with the environmental and social impact assessment system of the country where the project will be implemented? If so, please also specify the date of the approvals and the name of the authorities.

- | | |
|--|---|
| <input type="checkbox"/> Approved (without condition(s)) | <input type="checkbox"/> Approved (with condition(s)) |
| <input type="checkbox"/> Under approval process | <input type="checkbox"/> Other (_____) |

Date of Approvals: _____
Name of Authorities: _____

Q6. Are there any environmental permits required other than environmental and/or social impact assessments? If so, have they been obtained? Also, if required, please specify the name of the permits.

- | | |
|---------------------------------------|---|
| <input type="checkbox"/> Obtained | <input type="checkbox"/> Required, but not obtained yet |
| <input type="checkbox"/> Not required | <input type="checkbox"/> Other (_____) |

Name(s) of required permit(s): _____

Q7. Will the insurance be used for the provision of goods or services that does not involve installation or implementation in particular sites or regions, as in the case of export/import or lease of machinery or equipment that is not connected with a particular project?

(Yes / No)

If "Yes", please disregard the following questions.

If "No", please go to Q8.

Q8. Are there any environmentally sensitive area(s) shown below in or near the project site(s)?

(Yes / No)

If “Yes”, please mark the applicable items and go to Q9.

If “No”, please go to Q9.

- ☐ (1) National parks, nationally-designated protected areas (coastal areas, wetlands, areas for ethnic minorities or indigenous peoples, and cultural heritage, etc., designated by national governments)
- ☐ (2) Forests with important ecological value (including primary forests and natural forests in tropical areas)
- ☐ (3) Habitats with important ecological value (including coral reefs, mangrove wetlands, and tidal flats)
- ☐ (4) Habitats of rare species requiring protection under domestic legislation, international treaties, etc.
- ☐ (5) Areas in danger of large-scale salt accumulation or soil erosion
- ☐ (6) Areas with a remarkable tendency towards desertification
- ☐ (7) Areas with unique archaeological, historical or cultural value
- ☐ (8) Areas inhabited by ethnic minorities, indigenous peoples, or nomadic peoples with traditional ways of life (including areas which are used for cultural and spiritual purposes) and other areas with special social value

Q9. Does the project involve the following characteristics?

(Yes / No)

If “Yes”, please specify their scale and go to Q10.

If “No”, please go to Q11.

- | | |
|--|-------------------------------|
| <input type="checkbox"/> (1) Involuntary resettlement or loss of means of livelihood | (Number of affected people:) |
| <input type="checkbox"/> (2) Groundwater pumping | (Scale: m3 /year) |
| <input type="checkbox"/> (3) Land reclamation, land development, and land clearing | (Scale: ha) |
| <input type="checkbox"/> (4) Logging | (Scale: ha) |

Q10. Under the environmental and social impact assessment system of the country where the project will be implemented, do the applicable characteristics (1) – (4) of Q9 above and their scale serve as the basis for executing an ESIA for the project?

- | | |
|---------------------------------------|--|
| <input type="checkbox"/> Yes, they do | <input type="checkbox"/> No, they do not |
| <input type="checkbox"/> Other () | |

Q11. Is the project likely to have a significant social impact, including human rights?

(Yes / No)

If “Yes”, please describe the impacts and go to Q12.

If “No”, please go to Q12.

- | |
|---|
| <input type="checkbox"/> Likelihood of a significant impact on human rights () |
| <input type="checkbox"/> Other () |

Q12. Will the insurable value by NEXI in the export, loan or investment project be equal to or less than 5% of the total project cost, or equal to or less than SDR 10 million? (If the support is additional to a project that has been insured by NEXI, please add up the previous insurable value and the insurable value being considered to apply for this time.)

(Yes / No)

If “Yes”, please disregard the following questions.

If “No”, please go to Q13.

Q13. Is the project for capital investment or working capital in a project that has been implemented and does it involve no material change in output or function (as in the case of maintenance of the existing facilities, non-expansory renovation project, and acquisition of rights and interests without additional capital investment)?

(Yes / No)

If “Yes”, please disregard the following questions.

If “No”, please go to Q14.

Q14. Is the project in any of the following sectors?

(Yes / No)

If “Yes”, mark the applicable sectors and go to Q15.

If “No”, please disregard the following question.

- ☐ (1) Mining
- ☐ (2) Oil and natural gas development
- ☐ (3) Pipelines
- ☐ (4) Iron and steel (projects that include large furnaces)
- ☐ (5) Non-ferrous metals smelting and refining
- ☐ (6) Petrochemicals (manufacture of raw materials; including complexes)
- ☐ (7) Petroleum refining
- ☐ (8) Oil, gas and chemical terminals
- ☐ (9) Paper and pulp
- ☐ (10) Cement plants (including a greenfield quarry)
- ☐ (11) Manufacture and transport of toxic or poisonous substances regulated by international treaties, etc.
- ☐ (12) Thermal power
- ☐ (13) Nuclear power
- ☐ (14) Hydropower, dams and reservoirs
- ☐ (15) Power transmission and distribution lines involving large-scale involuntary resettlement, large-scale logging or submarine electrical cables
- ☐ (16) Roads, railways and bridges
- ☐ (17) Airports
- ☐ (18) Ports and harbors
- ☐ (19) Sewage and wastewater treatment having sensitive characteristics or located in sensitive areas or their vicinity
- ☐ (20) Waste management and disposal
- ☐ (21) Agriculture involving large-scale land clearing or irrigation
- ☐ (22) Forestry
- ☐ (23) Tourism (construction of hotels, etc.)

Q15. Please provide information on the scale of the project (project area, area of plants and buildings, production capacity, amounts of power generation, etc.). In addition, please explain whether an environmental and/or social impact assessment is required on account of the large scale of the project in the country where the project will be implemented.